
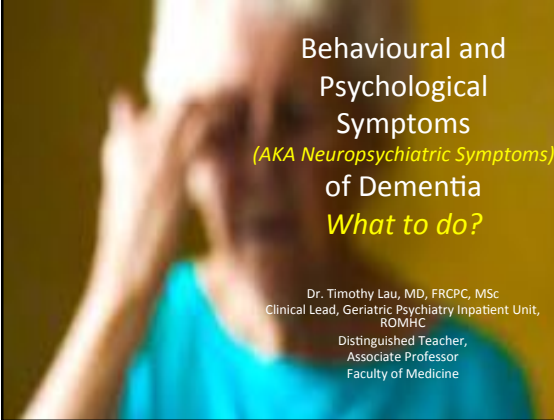


**BPSD What to do?**  
 Geriatric Refresher Day  
 11:30-12:30, Wed March 4<sup>th</sup>, 2015  
 St Elias Centre, Ottawa Ontario

**Behavioural and Psychological Symptoms (AKA Neuropsychiatric Symptoms) of Dementia**  
*What to do?*

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 Distinguished Teacher, Associate Professor  
 Faculty of Medicine

**Pre-Test 1**

- Which of the following BPSD symptoms respond to medications
  - a)Wandering, exit seeking
  - b)Verbal aggression
  - c)Anxiety
  - d)Annoying activities (touching, hugging...)
  - e)Hoarding or “Stealing”
  - f) Inappropriate undressing and dressing

**Pre-Test 2**

- Behavioural and Psychological Symptoms of Dementia (BPSD) are best treated with
  - a)Non-pharmacological interventions
  - b)Benzodiazepines
  - c)Antidepressants
  - d)Antipsychotics
  - e)Both medications and environmental interventions

**Pre-Test 3**

- Which of the following is **are correct** regarding atypical antipsychotics and dementia
  - a) There is an increased risk of death in placebo controlled short term studies
  - b) There is an increased risk of death in placebo controlled long term studies
  - c) They are the most effective medications to treat severe aggression
  - d) They are preferable to physical restraints

**Objectives**

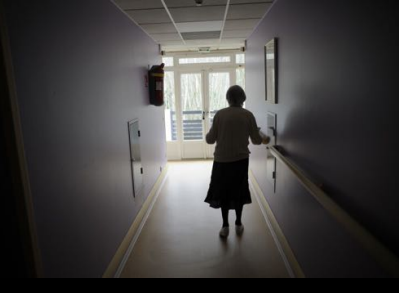
1. Review common behavioural and psychological problems seen in patients affected by dementia
2. Review the evidence for treatment
3. Discuss some cases

## Overview

1. Introduction
  - *What is BPSD (NPS)?*
  - *What causes it?*
  - *Why is it important?*
2. How do we access BPSD?
3. How do we treat it?
4. Cases




## What is BPSD?



## BPSD

- **Dramatic presentations**
  - Cognitive deficits are the clinical hallmark of dementia but noncognitive symptoms are common and can dominate disease presentation.
  - Are not equivalent to acute onset



## What is BPSD?


**Behavioral symptoms**  
Usually identified on the basis of observation of the patient, including physical aggression, screaming, restlessness, agitation, wandering, culturally inappropriate behaviors, sexual disinhibition, hoarding, cursing and shadowing.

**Psychological symptoms**  
Usually and mainly assessed on the basis of interviews with patients and relatives; these symptoms include anxiety, depressive mood, hallucinations and delusions. A psychosis of Alzheimer's disease has been accepted since the 1999 conference.

A consensus group, consisting of some 60 experts in the field, from 16 countries, produced a statement on the definition of the BPSD:  
*"Symptoms of disturbed perception, thought content, mood or behavior that frequently occur in patients with dementia".*

## BPSD

- **What is BPSD?**
  - An array of neuropsychiatric symptoms, such as agitation, aggression, delusions, hallucinations, repetitive vocalizations, and wandering, among other symptoms.






**Table 3. Pathogenic mechanisms of catastrophic reactions. Reprinted with permission from Haupt, 1996.**

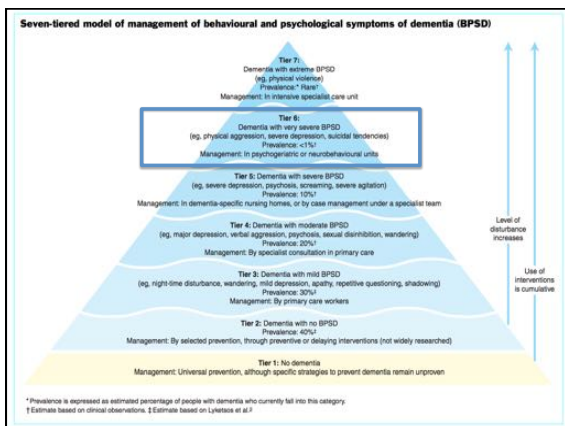
<b>Organic variables</b>
Brain damage (corpora amygdala, temporal lobes, hypothalamus)
Neurotransmitter dysfunction (decreased serotonin levels in the brain)
<b>Psychological variables</b>
Encountering a new environment
Realization that one is forgetful or ill
Reduced ability to communicate
Acting out psychotic distress
Accentuation of premorbid personality traits
Problematic relationship to caregiver in the past (troubled dyad)
<b>Environmental variables</b>
Unidentified noise
Inadequate lighting
Moving to unfamiliar places
Adversarial patient management style

- ### Individual factors
- Pain
  - Constipation or fecal impaction
  - Infections
  - Injury
  - Dehydration
  - Nutritional problems
  - Delirium
  - Psychosis
  - Depression
  - Anxiety disorders
  - Sleep disorders
  - Substance or medication abuse or withdrawal
  - Hearing and vision problems
  - Worsening of chronic medical conditions
  - Recent onset of new medical condition
  - Medications that have the potential to alter cognition or mood

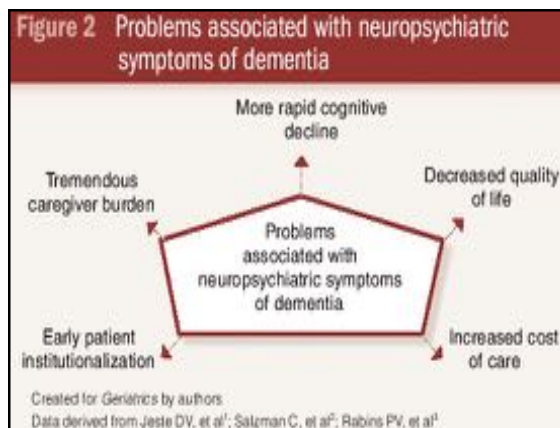
- ### Social and Environmental Factors
- Changes in social or family situation
  - New stressors or situational factors such as changes in staff
  - Lack of social activities
  - Lack of meaningful activities
  - Lack of positive (reinforcing) experiences
  - Deviations from normal life patterns, preferences, and autonomy
  - Change in room (i.e., relocation)

- ### What is in the DDx?
- Delirium
  - Depression/anxiety/mania
  - "Check the pee and the poop"
    - Pain/constipation/UTI
- 
- Diagnosis

- ### BPSD
- **How common?**
    - Neuropsychiatric symptoms have been observed in 60% to 98% of patients with dementia, especially in later stages.

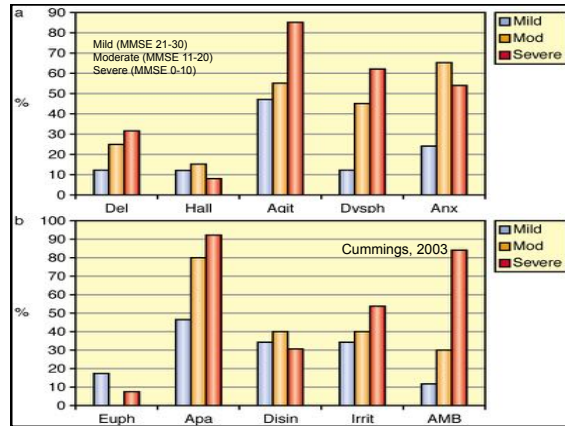
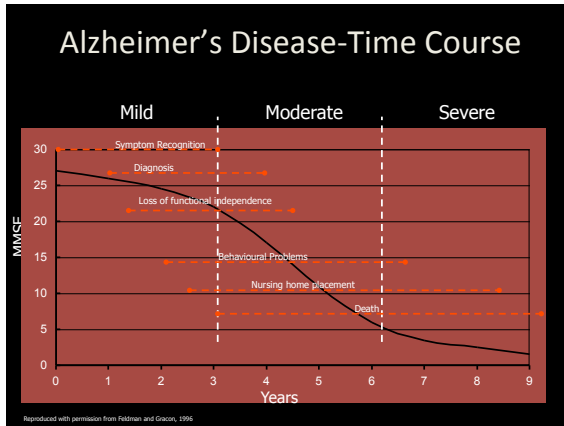


- ### Why is it important?
- 1 BPSD is common in dementia
  - 2 BPSD is associated with significant suffering for both the patient and family, is associated with functional decline, institutionalization and death
  - 3 Compared to the other symptoms of dementia they are more treatable.
  - 4 Treatment offers the best chance to reduce family burden and lower societal costs.



- ### Management of Dementia
- **5 Key Symptom Areas**
    1. **ADL's**
    2. **Behaviour** and personality (BPSD)
    3. Cognition
    4. Depression
    5. Effect on others
- Incidence 90% Tariot 1999  
Prevalence 60-90% Lyketsos 2002  
NSG home 70-90% Ballard 2001  
Community 60% Lyketsos 2000

- ### Symptoms varies by type and ...
- AD: apathy, agitation/aggression, anxiety
  - VaD: depression, agitation/aggression, apathy
  - LBD: apathy, delusions/visual hall, sleep disorders
  - FTD: apathy, agitation/aggression, disinhibition



### Assesment: the ABC's

- **A: ANTECEDENTS**
  - under, overstimulation, hunger, fear, pain
- **B: BEHAVIOURS**
  - Resistance to care, wandering, calling out
- **C: CONSEQUENCES**
  - Attention, food, care (re-enforced)

- Scales to measure the nature, severity, and frequency and the DOS to determine patterns and the ABCs

MMSE	MMSE	MMSE	MMSE	MMSE	MMSE
30	29	28	27	26	25
24	23	22	21	20	19
18	17	16	15	14	13
12	11	10	9	8	7
6	5	4	3	2	1
0	0	0	0	0	0

**1986**  
The Cohen-Mansfield Agitation Inventory (CMAI) focused specifically on behaviors such as hitting, pacing and screaming (Cohen-Mansfield et al., 1989; Cohen-Mansfield, 1996).

**1987**  
The Behavioral Pathologic Rating Scale for Alzheimer's disease (BEHAVE-AD) focused on specific symptoms in AD, different from those seen in other neuropsychiatric disorders, such as delusion that people are stealing things, fear of being left alone and fragmented sleep. (Reisberg et al., 1996).

**1994**  
The Neuropsychiatric Inventory (NPI) has frequency and severity scales for behaviors common to AD, but also includes scales for other dementias (Cummings et al., 1994).

**1995**  
The Consortium to Establish a Registry in AD (CERAD) Behavioral Scale focused on both behavioral and psychological symptoms (Tariot et al., 1995; Tariot, 1996).

**Box. Neuropsychiatric Symptom Rating Scales**

- Agitated Behavior Inventory for Dementia (ABID)
- Agitation-Confusion Evaluation Scale (ACES)
- Alzheimer Disease Assessment Scale, noncognitive portion (GADNAS-noncog)
- Bech-Rafaelson Mania Scale (BRMS)
- Behavior Observation Scale for Institutional Psychogeriatric Patients (OGIP)
- Behavior Rating Scale for Dementia (by the Consortium to Establish a Registry for Dementia) (BRSD)
- Behavioral Pathology in Alzheimer Disease Rating Scale (BEHAVE-AD)
- Brief Psychiatric Rating Scale (BPRS)
- Caregiver Burden Questionnaire (CBQ)
- Clinical Global Impression of Change (1=very much improved to 7=very much worse) (CGIC)
- Clinical Global Impression Scale (CGIS)
- Clinicians Interview Based Impression of Change plus caregiver input (CBIC-gba)
- Cohen-Mansfield Agitation Inventory (CMAI)
- Hamilton Rating Scale for Depression (HAMA-D)
- Neurobehavioral Rating Scale (0=not present to 7=extremely severe), derived from the Brief Psychiatric Rating Scale (NRS)
- Neuropsychiatric Inventory (usually 10 items, 120 ratings) (NPI)
- Neuropsychiatric Inventory-Nursing Home version (12 items, 194 points) (NPI-NH)
- Neuropsychiatric Inventory minus 3 "noise" items (NPI-NM)
- Overt Aggression Scale (OAS)
- Positive and Negative Syndrome Scale-Excited-Component (PANSS-EC)
- Revised Memory and Behavior Problems Checklist (RMBPC)
- Screen for Caregiver Burden (SCB)
- Social Dysfunction and Aggression Scale (SDAS-9)

## Assessment

- Comprehensive assessment to rule out
  - pain (Cohen-Mansfield and Mintzer, 2005; Sink *et al.*, 2005),
  - delirium (Sink *et al.*, 2005), and
  - environmental or interpersonal factors (Sink *et al.*, 2005) which may precipitate behaviors.
- Non-pharmacological interventions are usually recommended as first-line treatments for BPSD.

## Assessment

- Unfortunately,
  - knowledge of psychosocial interventions in LTC is low (Cohen-Mansfield and Jensen, 2008),
  - access to services for these interventions is limited (Conn, 1992; Burns *et al.*, 1993; Meeks, 1996; Reichman *et al.*, 1998; Seitz *et al.*, 2011),
  - their effectiveness may be modest (Seitz *et al.*, 2012), and
  - patients may not cooperate with these interventions (Cohen-Mansfield *et al.*, 2012).

## Assessment and Management

- Safety should be the first concern
  - In urgent situations, or when symptoms are severe:
    - It is appropriate to initiate pharmacological and nonpharmacological interventions together
- Reducing patient and caregiver’s vulnerability and exposure to stressors
  - Addressing a patient’s BPSD
  - Supporting Caregiver’s psychological morbidities
- Increasing Caregiver’s Resources
  - Training
  - Education
  - Social supports
  - In LTC, more staff, HIN in Ontario

### The DICE Approach

**Describe**

- Caregiver describes problematic behavior
  - Context (who, what, when and where)
  - Social and physical environment
  - Patient perspective
  - Degree of distress to patient and caregiver

**Investigate**


- Provider investigates possible causes of problem behavior
  - Patient
    - Medication side effects
    - Pain
    - Functional limitations
    - Medical conditions
    - Psychiatric comorbidity
    - Severity of cognitive impairment, executive dysfunction
    - Poor sleep hygiene
    - Sensory changes
    - Fear, sense of loss of control, boredom
  - Caregiver effects/expectations
  - Social and physical environment
  - Cultural factors

**Create**

- Provider, caregiver and team collaborate to create and implement treatment plan
  - Respond to physical problems
  - Strategize behavioral interventions
    - Providing caregiver education and support
    - Enhancing communication with the patient
    - Creating meaningful activities for the patient
    - Simplifying tasks
  - Ensuring the environment is safe
  - Increasing or decreasing stimulation in the environment

**Evaluate**

- Provider evaluates whether “CREATE” interventions have been implemented by caregiver and are safe and effective



Consideration of Psychotropic Use (caution/Safety)

BMJ. 2008;337(7681):203-204. DOI: 10.1136/bmj.337.7681.203

NEUROPSYCHIATRIC SYMPTOM MANAGEMENT

**Table 1. Linkage of DICE Steps with Patient/Caregiver/Environmental Considerations**

DICE STEP	Patient Considerations	Caregiver Considerations	Environmental Considerations
<b>Describe</b>	<ul style="list-style-type: none"> <li>• What behavior did the patient exhibit?</li> <li>• How did the patient perceive what occurred?</li> <li>• How did the patient feel about it?</li> <li>• Is the patient's safety at risk?</li> </ul>	<ul style="list-style-type: none"> <li>• How much distress did the behavior generate for the caregiver?</li> <li>• Does the caregiver feel their safety is threatened by the behavior?</li> <li>• What about the behavior is distressing to the caregiver?</li> <li>• What did the caregiver do during and after the behavior occurred?</li> </ul>	<ul style="list-style-type: none"> <li>• Who was there when behavior occurred (e.g. family members, unfamiliar people)?</li> <li>• When did the behavior occur (time of day) and what relationship did this have to other events (e.g. occurring while bathing or at dinner)?</li> <li>• Where did the behavior occur (e.g. home, daycare, restaurant)?</li> <li>• What happened before and after the behavior occurred in the environment?</li> </ul>
<b>Investigate</b>	<ul style="list-style-type: none"> <li>• Recent changes in medications?</li> <li>• Unsettled or understimulated patient?</li> <li>• Limitations in functional ability?</li> <li>• Medical conditions (e.g. urinary tract infection, constipation)?</li> <li>• Unsettling psychiatric comorbidity?</li> <li>• Severity of cognitive impairment, executive dysfunction?</li> <li>• Poor sleep hygiene?</li> <li>• Sensory changes (vision, hearing)?</li> <li>• Fear, sense of loss of control, boredom?</li> </ul>	<ul style="list-style-type: none"> <li>• Caregiver's lack of understanding of dementia, e.g. patient is "doing this on their own purpose"?</li> <li>• Caregiver's handling of the situation (e.g. being overly critical or harsh, use of complex sentences, offering too many choices)?</li> <li>• Caregiver's expectations not aligned with dementia stage (under or over estimation of capabilities)?</li> <li>• Caregiver's stress (depression)?</li> <li>• Family/cultural context (e.g. not wanting to interfere, "mind your own business", "leave it to keep patient at home")?</li> </ul>	<ul style="list-style-type: none"> <li>• Clutter (e.g. clutter, noise, people) or under-stim (e.g. lack of visual cues, poor lighting) stimulating environment?</li> <li>• Difficulty navigating or finding way to environment, subtle features that are confusing to patient?</li> <li>• Lack of predictable daily routines that are comforting to patient?</li> <li>• Lack of pleasurable activities tapping into preserved capabilities and previous interests?</li> </ul>
<b>Create</b>	<ul style="list-style-type: none"> <li>• Respond to physical problems</li> <li>• Discontinue medications causing behavioral side effects if possible</li> <li>• Adjust pain</li> <li>• Treat depression, dehydration, constipation</li> <li>• Optimize patient for conditions: psychiatric</li> <li>• Sleep hygiene measures</li> <li>• Enroll with sensory measurements</li> </ul>	<ul style="list-style-type: none"> <li>• Work collaboratively with caregiver/other team members to institute nonpharmacologic interventions including (see Table 2 for details):                             <ul style="list-style-type: none"> <li>• Providing caregiver education and support</li> <li>• Enhancing communication with patient</li> <li>• Creating meaningful activities for patient</li> <li>• Simplifying tasks</li> </ul> </li> </ul>	<ul style="list-style-type: none"> <li>• Work collaboratively with caregiver/other team members to institute nonpharmacologic interventions including (see Table 2 for details):                             <ul style="list-style-type: none"> <li>• Ensuring the environment is safe</li> <li>• Simplifying/enhancing the environment</li> </ul> </li> </ul>
<b>Evaluate</b>	<ul style="list-style-type: none"> <li>• Has the intervention(s) resolved behavior?</li> <li>• Have there been any unintended consequences or "side effects" from the intervention(s)?</li> </ul>	<ul style="list-style-type: none"> <li>• Which interventions did the caregiver do vs. implement on the intervention, why?</li> </ul>	<ul style="list-style-type: none"> <li>• What changes in the environment have been made?</li> </ul>



- What behaviours respond to medications?



### Behaviours not generally amenable to pharmacotherapy

- Wandering, exit seeking
- Verbal aggression
- Resistance to care
- Annoying activities (touching, hugging...)
- Inappropriate sexual behaviour
- Refusal of food, medications
- Hoarding or "Stealing"
- Inappropriate urination or defecation (including smearing of feces)
- Spitting
- Inappropriate undressing and dressing (layering, hoarding taking other patients clothes)

### Behaviours not generally amenable to pharmacotherapy

- Constant requests, repetitions
- Excessive noisiness
- Hiding things
- Pushing wheelchair-bound patients
- Tearing things, flushing things down toilets
- Eating inedible things (including feces)
- Tugging at or removing restraints
- Refusing to leave room
- Physical disruptiveness

### Behaviours that may be amenable to pharmacotherapy

- Anxiety: restlessness, hand-wringing, pressured pacing, fidgeting, agitation
- Sadness: crying, anorexia, terminal insomnia, nihilism, guilt
- Withdrawn: apathy, quiet negativity, anorexia, sullenness, uncooperation
- Markedly bizarre or regressed behaviour from previous standards
- Over-elation
- Overly boisterous: verbal hostility, aggressiveness, argumentativeness
- Delusions: ideas of reference, paranoia, persecuted, sensory
- Hallucinations

### General Guidelines

- Prescribing must be informed and judicious,
- utilizing low starting doses;
- slow and cautious dose titration, and
- careful monitoring for the emergence of side effects.

### General Guidelines

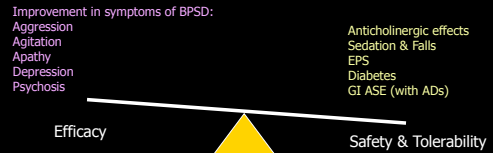
- Before deciding whether to treat BPSD with medication, the following questions must be addressed:
  1. Does the particular symptom or behavior warrant drug treatment, and why?
  2. Which type of medication is most suitable for this symptom or behavior?
  3. What are the predictable and potential side effects of a particular drug treatment?
  4. How long should the treatment be continued?

## General Guidelines

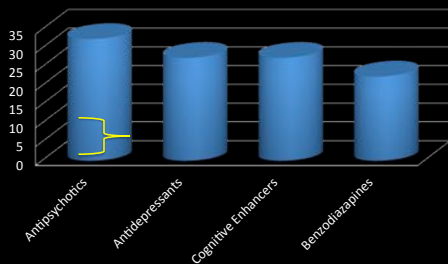
- Drug treatment for BPSD should only be initiated after these symptoms have been found to:
  1. have no physical cause
  2. be unrelated to the effects of other medication
  3. not respond to or be appropriate for non-pharmacological interventions.

## Should we suggest medications?

Achieve Balance Between Efficacy & Side Effects



## Percentage usage in LTC



InternationalPsychogeriatrics(2013), Seitz et al. Pharmacological treatment for neuropsychiatric symptoms of dementia in LTC

## Pharmacologic Management of BPSD

### 1. Atypicals

- Remain the best studied and most effective but side effects limit their use

### 2. Antidepressants

- Inconclusive evidence for Trazadone
- Citalopram: Recent double blind-PC-RCT
- JAMA 2014

### 3. Anticonvulsants

- Tegretol can be effective but poorly tolerated. Negative studies with Epival. Not as thoroughly studied as atypicals

### 4. Benzodiazepines

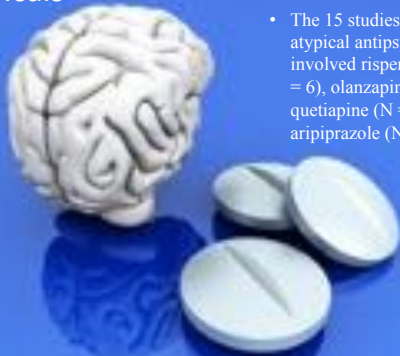
- Short term use only

### 5. Cognitive enhancers

- Memantine negative study

Herrmann et al. Alzheimer's Research & Therapy 2013, 5(Suppl 1):S5

## Atypicals



- The 15 studies of atypical antipsychotics involved risperidone (N = 6), olanzapine (N = 4), quetiapine (N = 3), and aripiprazole (N = 3).

Herrmann et al. Alzheimer's Research & Therapy 2013, 5(Suppl 1):S5

## Risperidone

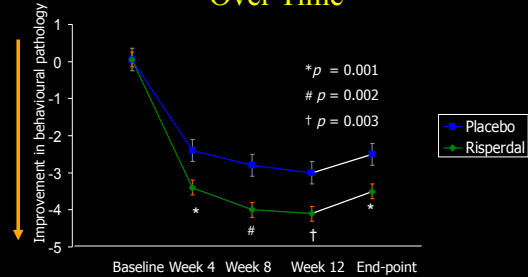
- Available as M-Tabs and Consta depot
- Best studied, best evidence
- High rates of EPS
- Dose range 0.25-2 mg per day.



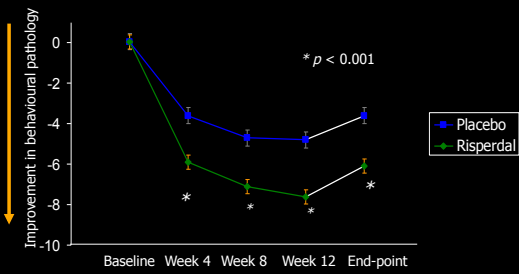
### Pooled Analysis of Phase III Trials

- De Deyn, Katz and Brodaty trials
- 1150 elderly subjects (excl. patients on haloperidol)
  - 722 risperidone
  - 428 placebo
- Suitable for pooling:
  - all nursing home/institutionalized
  - same duration (12 weeks)
  - similar dosing ranges (different schedules)

### Pooled Analysis: BEHAVE-AD Psychosis Subscore Change Over Time



### Pooled Analysis: BEHAVE-AD Score Change Over Time



### Atypicals and Severity of Aggression

- Katz IJGP 2007 – metanalysis of 4 Risperidone Trials

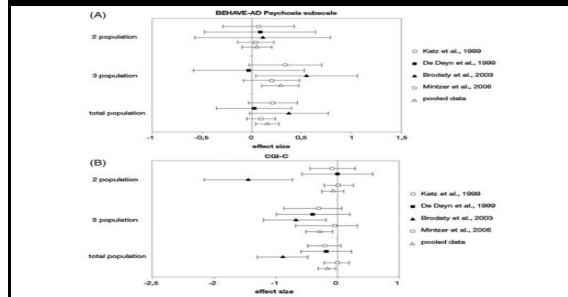


Figure 3. Effect sizes (OR) for BEHAVE-AD psychosis subscale (A) and CGI-C (B) in the four separate trials and pooled population. The 2 and 3 populations are patients with a score of 2 or less, or patients with a score of at least 3, respectively, on any or more items on the BEHAVE-AD Psychosis subscale at baseline. BEHAVE-AD = Behavioral Pathology in Alzheimer's Disease; rating scale; CGI-C = Clinical Global Impression of Change; CI = Confidence Interval.

### 3 Aripiprazole RCTs

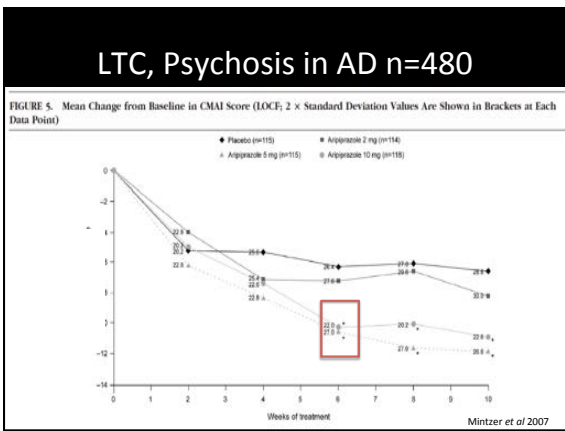
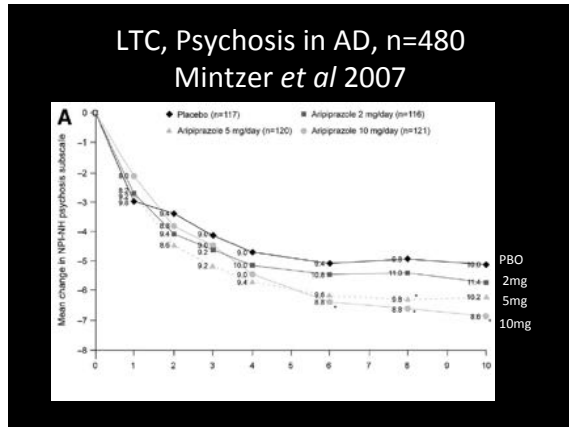
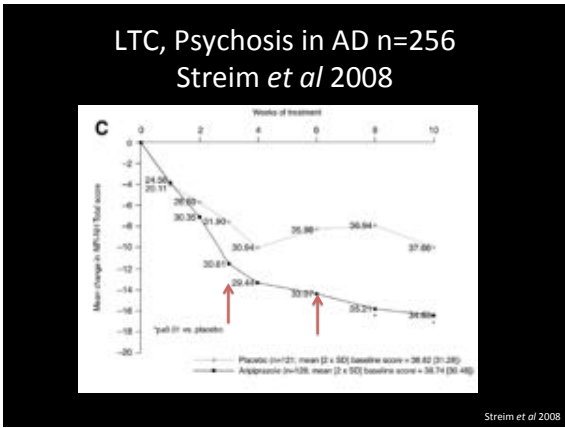
	Location	N	RCT design (10wks)	Population	Outcome
De Deyn 2005	Multicenter, Belgium (RH)	N=208	Flexible dose (2-15mg) vs PBO Mean 10mg	55-95 AD (DSM) MMSE 6-24 NPI psychosis ≥5 (x 1 mo)	NPI total (-) Caregiver-Rated BPRS (+) Clinician rated
Streim 2008	35 US centers (LTC)	N=256	Flexible dose (2-15mg) vs PBO Mean 9mg		NPI <sup>1</sup> psychosis (-) NPI <sup>1</sup> total (+) CMAI (+)
Mintzer 2007	81 International centers (LTC)	N=480	Fixed doses 2, 5, 10 mg Vs PBO		NPI <sup>1</sup> psych (+) 10mg NPI <sup>1</sup> total (+) 5-10mg CMAI (+) 5-10mg

4 overlapping authors, employees of BMS / Otsuka. Industry founded

### RH & Home with caregiver Psychosis in AD, n=208 De Deyn et al 2005

TABLE 1. Mean Changes in Efficacy Measures From Baseline at Week 10 (LOCF)

Efficacy Measure	n	Placebo		Aripiprazole		P	
		Mean Baseline	Mean Change	n	Mean Baseline		Mean Change
NPI Psychosis*	100	12.12	-5.52	103	12.69	-6.55	0.169
NPI Total*	100	40.08	-9.75	103	39.82	-11.20	0.582
BPRS Psychosis†	93	5.25	-1.27	99	5.46	-1.93	0.029
BPRS Core	97	11.68	-2.7	101	12.28	-3.9	0.042
BPRS Total†	95	43.42	-6.58	100	43.63	-8.53	0.153
CGI-S‡	100	4.84	-0.54	102	4.83	-0.69	0.345
CGI-F	100	—	3.07	103	—	3.17	0.564
MMSE‡	86	14.13	0.53	94	14.35	-0.81	0.001



### Article

#### Clinical Symptom Responses to Atypical Antipsychotic Medications in Alzheimer's Disease: Phase 1 Outcomes From the CATIE-AD Effectiveness Trial

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Sonia M. Davis, Dr.P.H.  
Pierre N. Tariot, M.D.  
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John K. Hsiao, M.D.  
Jeffrey A. Lieberman, M.D.  
Lon S. Schneider, M.D.  
CATIE-AD Study Group

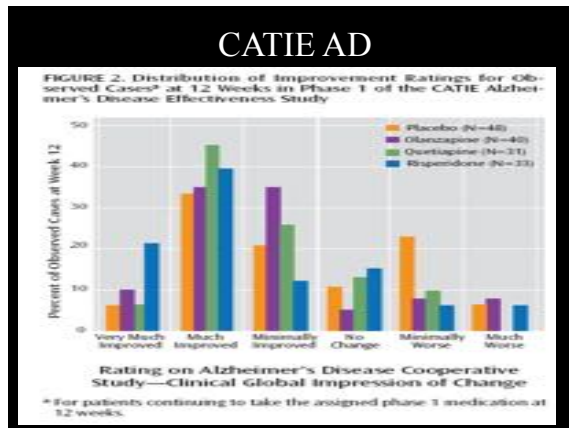
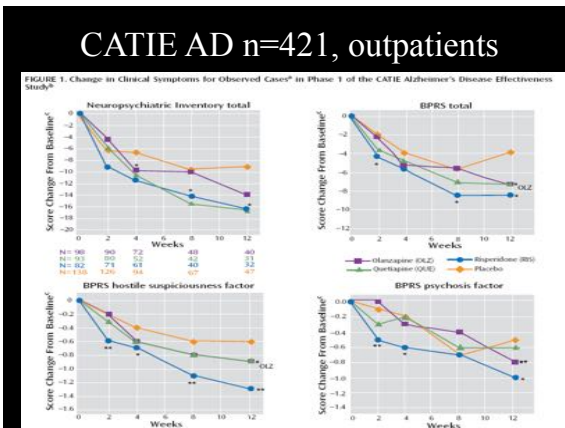
**Objective:** The study measured the effects of atypical antipsychotics on psychiatric and behavioral symptoms in patients with Alzheimer's disease and psychosis or agitated behavior.

**Method:** The Clinical Antipsychotic Trials of Intervention Effectiveness—Alzheimer's Disease (CATIE-AD) Alzheimer's disease effectiveness study included 421 outpatients with Alzheimer's disease and psychosis or agitated/aggressive behavior. Patients were assigned randomly to masked, flexible-dose treatment with olanzapine, quetiapine, risperidone, or placebo for up to 36 weeks. Patients could be randomly reassigned to a different medication at the clinician's discretion, which ended phase 1. Psychiatric and behavioral symptoms, functioning, cognition, care needs, and quality of life were measured at regular intervals.

**Results:** In relation to placebo, the last observation in phase 1 showed greater improvement with olanzapine or risperidone on the Neuropsychiatric Inventory total score, risperidone on the Clinical Global Impression of Change, olanzapine and risperidone on the Brief Psychiatric Rating Scale (BPRS) hostile suspiciousness factor, and risperidone on the BPRS psychosis factor. There was worsening with olanzapine on the BPRS withdrawn depression factor. Among patients continuing phase 1 treatment at 12 weeks, there were no significant differences between antipsychotics and placebo on cognition, functioning, care needs, or quality of life, except for worsened functioning with olanzapine compared to placebo.

**Conclusion:** In this descriptive analysis of outpatients with Alzheimer's disease in usual care settings, some clinical symptoms improved with atypical antipsychotics. Antipsychotics may be more effective for particular symptoms, such as anger, aggression, and paranoid ideas. They do not appear to improve functioning, care needs, or quality of life.

(Am J Psychiatry 2008; 165:844-854)



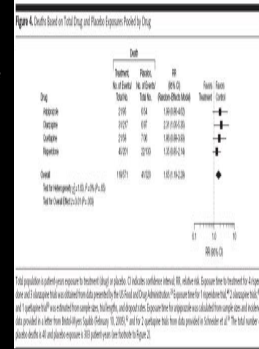
## The problem...

- Data from 12-week RCTs have led to concerns about increased mortality in patients with Alzheimer's disease (AD) who are prescribed antipsychotics



## Background: Short term studies

- Fifteen placebo controlled trials**
  - (9 unpublished), generally 10 to 12 weeks, (aripiprazole [n=3], olanzapine [n=5], quetiapine [n=3], risperidone [n=5]).
- Pooled analysis**
  - A total of 3353 patients were randomized to study drug and 1757 were randomized to placebo.
- Absolute risk difference**
  - Death occurred more often among patients randomized to drugs (118 [3.5%] vs 40 [2.3%]).
- JAMA. 2005



Health Santé Health Products and Food Branch  
Canada Canada Direction générale des produits de santé et des aliments

- Subject: INCREASED MORTALITY** Associated with the Use of Atypical Antipsychotic Drugs in Elderly Patients with Dementia
- Dear Health Care Professional,**
  - Health Canada is advising Canadians that treatment with atypical antipsychotic medication of behavioral disorders in elderly patients is associated with an increased risk for all-cause mortality.
  - Except for risperidone (RISPERDAL), these medications are not approved for use in elderly demented patients.

### The dementia antipsychotic withdrawal trial (DART-AD): long-term follow-up of a randomised placebo-controlled trial

Cher Ballard, Maria Luisa Hanney, Megan Theodorou, Simon Douglas, Rupert McShane, Katja Kosikowski, Randeep Gill, Edmund Juszcak, Li-Miao Yu, Robin Jacoby for the DART-AD investigators

**Summary**  
Background Data from 12-week placebo-controlled trials have led to mounting concerns about increased mortality in patients with Alzheimer's disease (AD) who are prescribed antipsychotics; however, there are no mortality data from long-term placebo-controlled trials. We aimed to assess whether continued treatment with antipsychotics in people with AD is associated with an increased risk of mortality.

**Methods** Between October, 2001, and December, 2004, patients with AD who resided in care facilities in the UK were enrolled into a randomised, placebo-controlled, parallel, two-group treatment discontinuation trial. Participants were randomly assigned to continue with their antipsychotic treatment (haloperidol, chlorpromazine, haloperidol, trifluoperazine, or risperidone) for 12 months or to switch their medication to an oral placebo. The primary outcome was mortality at 12 months. An additional follow-up telephone assessment was done to establish whether each participant was still alive 24 months after the enrolment of the last participant (range 24-54 months). Causes of death were obtained from death certificates. Analysis was by intention to treat (ITT) and modified intention to treat (mITT). This trial is registered with the Cochrane Central Registry of Controlled Trials/National Research Register, number ISRCTN33368770.

**Findings** 165 patients were randomised (83 to continue antipsychotic treatment and 82 to placebo), of whom 128 (78%) started treatment (64 continued with their treatment and 64 received placebo). There was a reduction in survival in the patients who continued to receive antipsychotics compared with those who received placebo. Cumulative probability of survival during the 12 months was 79% (95% CI 58-80%) in the continue treatment group versus 77% (64-85%) in the placebo group for the mITT population. Kaplan-Meier estimates of mortality for the whole study period showed a significantly increased risk of mortality for patients who were allocated to continue antipsychotic treatment compared with those allocated to placebo (mITT log rank p=0.03; ITT p=0.02). The hazard ratio for the mITT group was 0.58 (95% CI 0.35 to 0.95) and 0.58 (0.36 to 0.92) for the ITT population. The more pronounced differences between groups during periods of follow-up longer than 12 months were evident at specific timepoints (24-month survival 46% vs 71%; 36-month survival 30% vs 59%).

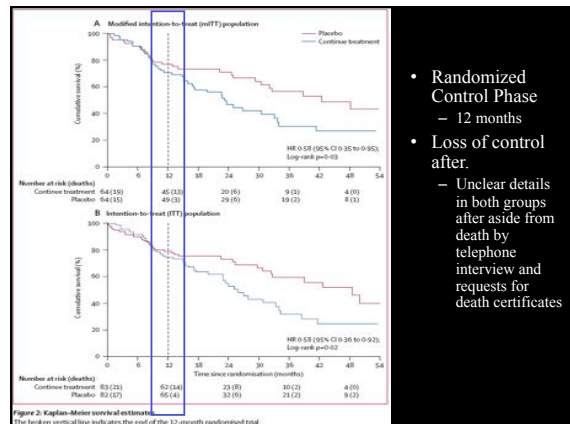
**Interpretation** There is an increased long-term risk of mortality in patients with AD who are prescribed antipsychotic medication; these results further highlight the need to seek less harmful alternatives for the long-term treatment of neuropsychiatric symptoms in these patients.

*Lancet Neurol* 2009; 8: 813-8  
Published online January 8, 2009  
DOI:10.1016/S1473-3099(08)70265-3

See Leading Edge page 125  
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Department of Psychiatry, University of Oxford, Radcliffe Centre, Oxford, UK (Dr Rupert McShane)  
Department of Psychiatry, and Centre for Statistics in Medicine, University of Oxford, Oxford, UK (Dr Katja Kosikowski)  
UK (Dr Randeep Gill)

## Findings

- At 12 months**
  - Cumulative probability of survival during the 12 months was **70%** (95% CI 58-80%) in the continue treatment group versus **77%** (64-85%) in the placebo group for the mITT population.
- After 12 months**
  - Kaplan-Meier estimates of mortality for the whole study period showed a significantly increased risk of mortality for patients who were allocated to continue antipsychotic treatment compared with those allocated to placebo (mITT log rank p=0.03; ITT p=0.02).



- Randomized Control Phase**
  - 12 months
- Loss of control after.**
  - Unclear details in both groups after aside from death by telephone interview and requests for death certificates

### CCCDTD 2012

- Revised recommendation Risperidone, olanzapine and aripiprazole can be used for severe agitation, aggression and psychosis where there is risk of harm to the patient and/or others.
- The potential benefit of all antipsychotics must be weighed against the significant risks such as cerebrovascular adverse events and mortality. (Grade 2A)
  - Previous recommendation Risperidone and olanzapine can be used for severe agitation, aggression and psychosis. The potential benefit of all antipsychotics must be weighed against the potential risks such as cerebrovascular adverse events and mortality.

Herrmann et al. Alzheimer's Research & Therapy 2013, 5(Suppl 1):S5

### CCCDTD 2012

- Revised recommendation There is insufficient evidence to recommend for or against the use of SSRIs or trazodone in the management of agitated patients. (Grade 2B)
  - Previous recommendation There is insufficient evidence to recommend for or against the use of trazodone in the management of nonpsychotic, agitated patients.

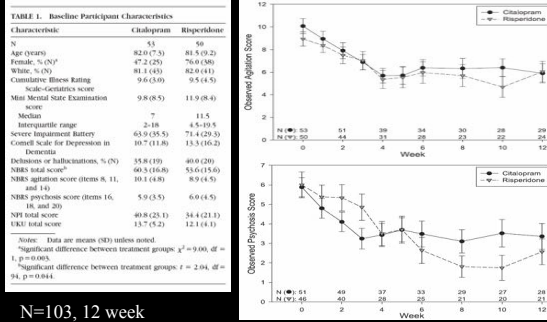
Herrmann et al. Alzheimer's Research & Therapy 2013, 5(Suppl 1):S5

### BPSD: Antidepressants

- Inconclusive evidence for Trazodone
- 2 RCTs showing similar efficacy of Risperidone to Citalopram and Escitalopram (not placebo controlled)
- 1 DBPRCT JAMA 2014

### Double-Blind Comparison of Citalopram and Risperidone in BPSD

Pollock et al. AJGP 2007



#### Effect of Citalopram on Agitation in Alzheimer Disease: The CitAD Randomized Clinical Trial

Author: P. Herrmann, MD, Lisa T. Shaw, PhD, Bruce G. Pollock, MD, PhD, D. H. Ferrmann, MD, Constantine G. Lyketsos, PhD, Suzanne Farrell, MD, Christopher Manning, MD, Charles S. Helmer, PhD, Andrew E. Skerrett, MD, Nisha, Cynthia S. Wynn, PhD, Sandra Pettes, MD, Peter H. Rabins, MD, Neil R. Burchard, MD, John S. Schworer, MD, David M. Stein, JD, David Sternfeld, MD, Jerome Young, MD, Constantine G. Lyketsos, MD, MSc, for the CitAD Research Group

**IMPORTANCE:** Agitation is common, persistent, and associated with adverse consequences for patients with Alzheimer disease. Pharmacological treatment options, including antipsychotics, are not satisfactory.

**OBJECTIVE:** The primary objective was to evaluate the efficacy of citalopram for agitation in patients with Alzheimer disease. Key secondary objectives examined effects of citalopram on function, caregiver distress, cognitive safety, and tolerability.

**DESIGN, SETTING, AND PARTICIPANTS:** The Citalopram for Agitation in Alzheimer Disease Study (CitAD) was a randomized, placebo-controlled, double-blind, parallel-group trial that enrolled 186 patients with probable Alzheimer disease and clinically significant agitation from 6 academic centers in the United States and Canada from August 2008 to January 2013.

**INTERVENTIONS:** Participants (n = 186) were randomized to receive a psychosocial intervention plus either citalopram (n = 94) or placebo (n = 92) for 9 weeks. Dosage began at 10 mg per day with planned titration to 30 mg per day over 3 weeks based on response and tolerability.

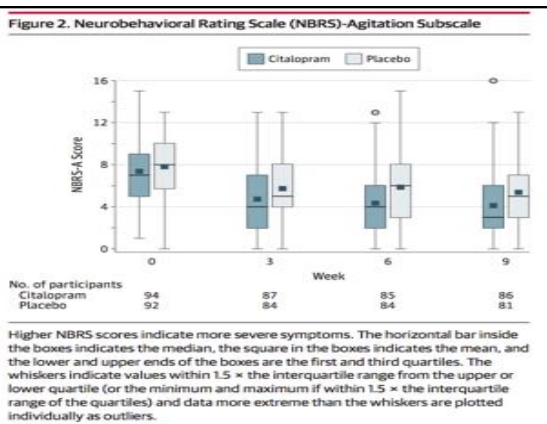
**MAIN RESULTS AND MEASURES:** Primary outcome measures were based on scores from the 18-point Neurobehavioral Rating Scale agitation subscale (NRS-A) and the modified Alzheimer Disease Cooperative Study-Clinical Global Impression of Change (mADCS-CGIC). Other outcomes were based on scores from the Cohen-Mansfield Agitation Inventory (CMAI) and the Neurocognitive Inventory (NPI), ability to complete activities of daily living (ADL), caregiver distress, cognitive safety (based on scores from the 30-point Mini-Mental State Examination (MMSE)), and adverse events.

**RESULTS:** Participants who received citalopram showed significant improvement compared with those who received placebo on both primary outcome measures. The NRS-A indicated treatment difference of at least 10 compared with placebo (mean difference, 10.80; 95% CI, 1.80 to 20.80,  $P = .004$ ). Results from the mADCS-CGIC showed 40% of citalopram participants having moderate or marked improvement from baseline compared with 20% of placebo recipients, with accumulated treatment effect (odds ratio [OR]) of being at or better than a given CGIC category of 2.10 (95% CI, 1.23 to 3.60),  $P = .003$ . Participants who received citalopram showed significant improvement on the CMAI, total NPI, and caregiver distress scores but not on the NPI agitation subscale. ADL, NPI psychosis, NPI depression, NPI total score, and caregiver distress scores improved in both groups. The NRS-A total score improved in both groups (mean difference, 1.05 points; 95% CI, -1.07 to 3.03,  $P = .03$ ) and Q1 interval (agitation) (81 mm; 95% CI, 63-93),  $P = .03$  were seen for the citalopram group.

**CONCLUSIONS AND RELEVANCE:** Among patients with probable Alzheimer disease and agitation who were receiving psychosocial intervention, the addition of citalopram compared with placebo significantly improved agitation. The addition of citalopram compared with placebo may also improve caregiver distress, ability to complete activities of daily living, and caregiver distress.

Author Affiliations: Author affiliations are listed at the end of this article.  
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n=186, 9 weeks, Placebo controlled flexible dose 10-30 mg

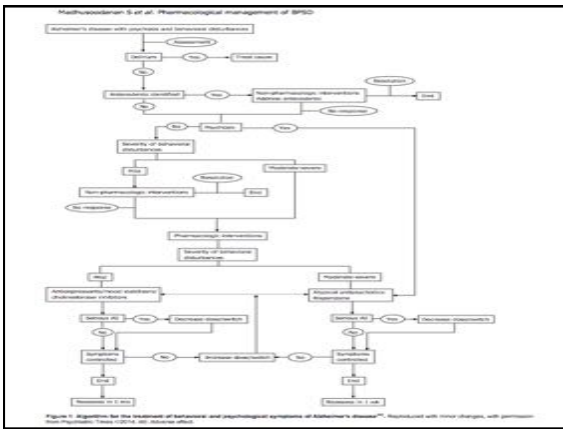




## CCCDTD 2012

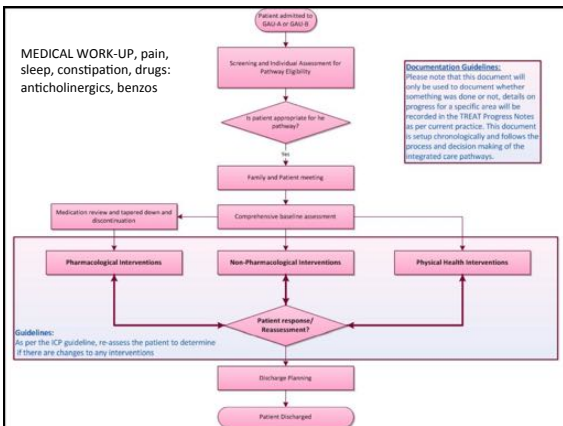
- New recommendation There is good evidence that valproate should not be used for agitation and aggression in AD. (Grade 1A)
- Revised recommendation There is insufficient evidence to recommend for or against the use of ChEIs and/or memantine for the treatment of neuropsychiatric symptoms as a primary indication. (Grade 2B)
  - Previous recommendation Patients who have mild to moderate AD and neuropsychiatric symptoms can be considered for a trial of a ChEI and/or memantine for these symptoms.

Herrmann et al. Alzheimer's Research & Therapy 2013, 5(Suppl 1):S5



## Agitation in Alzheimer's Dementia: An Integrated Pathway at CAMH

**NRHC Psychiatry CME rounds**  
**February 25, 2014**  
 Vincent Woo, MD, PhD, FRCPC  
 Head Inpatient Geriatrics and Dual Diagnosis  
 Geriatric Mental Health Services, CAMH

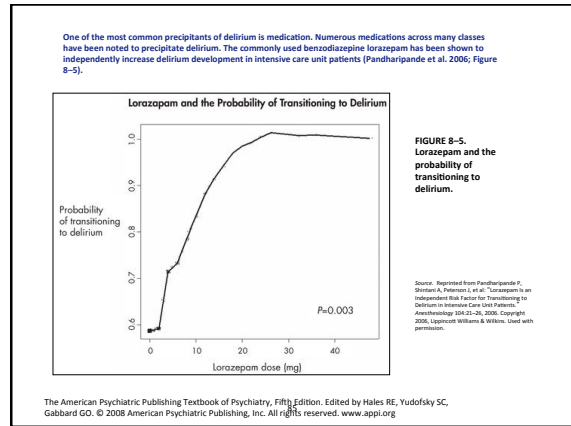
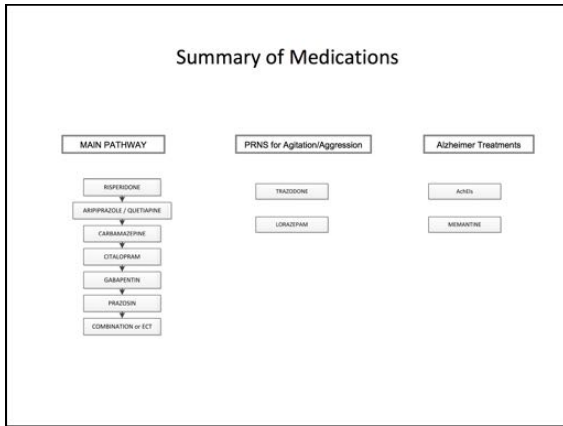


### Dementia (Agitation and Aggression) Medication Algorithm

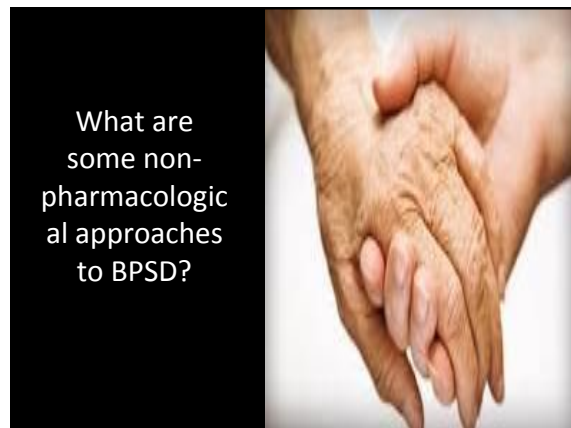
Drug	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	
1. Risperidone	0.25	0.50	0.75	1.00	1.50	2.00	2.50	3.00	3.50	4.00	4.50	5.00	5.50	6.00	6.50	7.00	7.50	8.00	8.50	9.00	9.50	10.00
2. Quetiapine (PMS)	25	50	75	100	150	200	250	300	350	400	450	500	550	600	650	700	750	800	850	900	950	1000
3. Carbamazepine	100	200	300	400	500	600	700	800	900	1000	1100	1200	1300	1400	1500	1600	1700	1800	1900	2000	2100	2200
4. Citalopram	10	20	30	40	50	60	70	80	90	100	110	120	130	140	150	160	170	180	190	200	210	220
5. Gabapentin	200	400	600	800	1000	1200	1400	1600	1800	2000	2200	2400	2600	2800	3000	3200	3400	3600	3800	4000	4200	4400
6. Propranolol	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22

1.000 mg are 1000 mg. Daily dose in milligrams (mg).





- ## Adverse Effects
- **Antipsychotics**
    - Cardiac (QTC and Torsades)
    - EPS (axial dystonia, tremor, gait instability, TD)
    - CVA
    - Sudden death
  - **SSRIs**
    - Hyponatremia, balance, GI bleeds, cardiac (QTC)
  - **Mood Stabilizers**
    - Hematologic, sedation, DDI
  - **AChEI**
    - Activation, GI, Sleep, Cramps



## NON-PHARMACOLOGICAL INTERVENTIONS

Allied Health Professional	NON-PHARMACOLOGICAL INTERVENTIONS IDENTIFIED INITIALLY AS MOST APPROPRIATE*			
Please check discipline: <input type="checkbox"/> Occupational Therapist <input type="checkbox"/> Recreation Therapist <input type="checkbox"/> Social Worker <input type="checkbox"/> Primary Nurse Name: _____ Sign: _____ Date: _____	<b>Social Contact</b> <input type="checkbox"/> Pet therapy <input type="checkbox"/> One-to-one visit <input type="checkbox"/> Other: _____	<b>Sensory Enhancement/Relaxation</b> <input type="checkbox"/> Hand massage <input type="checkbox"/> Individualized Music <input type="checkbox"/> Individualized art <input type="checkbox"/> Sensory modulation <input type="checkbox"/> Other: _____	<b>Purposeful Activity</b> <input type="checkbox"/> Helping tasks / Volunteer role <input type="checkbox"/> Inclusion in group programs of identified interest <input type="checkbox"/> Access to outdoors <input type="checkbox"/> Other: _____	<b>Physical Activity</b> <input type="checkbox"/> exercise group <input type="checkbox"/> Indoor/outdoor walks <input type="checkbox"/> Individual exercise program <input type="checkbox"/> Other: _____

\*Physical health interventions and non-pharmacological interventions will be based on individual patient need, likes and dislikes.

Goals of Care	Examples of psychological and social interventions
<b>Reduce social isolation</b>	<ul style="list-style-type: none"> <li>• Talking and singing</li> <li>• Watching family videos</li> </ul>
<b>Stimulate the senses</b>	<ul style="list-style-type: none"> <li>• Pet therapy</li> <li>• Music</li> <li>• Sensory stimulation (e.g., Snoezelen room)</li> </ul>
<b>Promote relaxation</b>	<ul style="list-style-type: none"> <li>• Aromatherapy</li> <li>• Bright light therapy</li> </ul>
<b>Reduce agitation</b>	<ul style="list-style-type: none"> <li>• White noise</li> <li>• Massage and touch</li> </ul>
<b>Increase positive engagement with physical &amp; social environment</b>	<ul style="list-style-type: none"> <li>• Recreational activities</li> <li>• Walking programs</li> <li>• Group exercise</li> </ul>



**Manage behaviours that may be disturbing, disruptive or potentially harmful**

- The selection of specific behaviour therapy interventions should be based on analysis of the factors that are maintaining the behaviour (ABC Behavior Charting).
- Interventions may include reinforcing (rewarding) behaviours that are incompatible with problem behaviours and use of stimulus control (cuesing) to encourage context-appropriate behaviours.
- Development and implementation of individualized behaviour therapy requires appropriate staff training and support (e.g., P.I.E.C.E.S.).

### Approaches

- GPA
- "Stop and Go" care
- PIECES

### Paper Discussion

#### The Use and Utility of Specific Nonpharmacological Interventions for Behavioral Symptoms in Dementia: An Exploratory Study

*Johanna Cohen-Mansfield, Ph.D., Marcia S. Morris, Ph.D., Maha Dakheel-All, M.D., Klio Thom, M.D.*

**Objectives:** This study compares different nonpharmacological interventions for persons with behavioral symptoms and describes the frequency of use and perceived efficacy in terms of change in behavior and mood. **Methods:** Participants were 60 nursing home residents with an Alzheimer's disease (AD) or related dementia (RD) or mild cognitive impairment (MCI) aged 65-94 years (M = 84 years). Research assistants provided interventions tailored to the participants' needs and preferences in a prearranged trial phase and in an intervention phase. The impact of each intervention on behavioral symptoms and on the person's mood was noted immediately after the intervention by a research assistant. **Results:** The most utilized interventions in both trial and treatment phases were the social interaction of one-on-one interaction, structured social interaction such as a table set and table set, the three interventions of requests and the sensory stimulation intervention of music. In contrast, the least utilized interventions in both phases were among group work and group management interventions with the highest impact on behavioral symptoms included one-on-one social interaction, hand massage, music, table set, and playing cards. Other high impact interventions included walking, going outside, phone answering, food or drink, evening group activity, hand presentation, bed tray, coloring or painting, walking, and jewelry table. **Conclusions:** The results provide initial directions for choosing specific interventions for persons with dementia and also demonstrate a methodology for assessing knowledge through ongoing monitoring of practice. *Ann N Y Acad Sci* 2015; 1343:160-176.

**Key Words:** Dementia, behavioral symptoms, nonpharmacological interventions

### NPS Prospective Study

**FIGURE 2. Factors affecting intervention utilization.**

*Note:* Some unmet needs have a direct effect on intervention utilization, such as request for food or drink. Other needs are best catered to by the most efficacious intervention for those needs (e.g., direct social interaction for a social need), but utilization is then affected by intervention availability. In the current study, intervention availability was high for one-on-one interaction and low for group activities. Some needs such as for activity or for meaning can be addressed by specific interventions, such as sewing, the utilization of which is determined by their match to participants' individual capabilities and preferences.

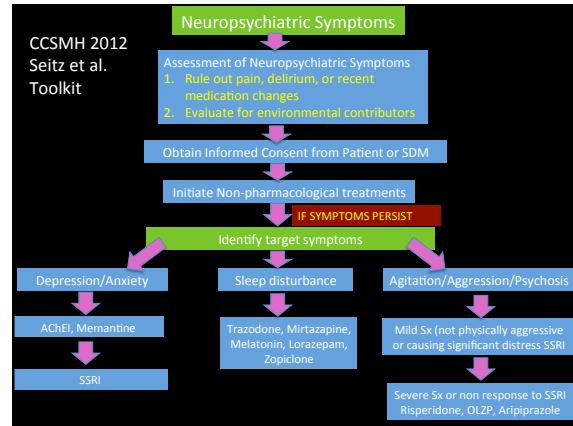
## CASES and DISCUSSION

### Case 2

- An 78-year-old dentist. Developed seizures 3 years ago and Rx Epival. Followed by a Geriatric Medicine Service. Dx with Dementia, likely AD.
- Admitted to plastics for a large basal cell ca resection which was complicated by cellulitis. Became more confused. Increasingly combative. Hallucinating. Dx with DLB.
- Started on Neuroleptics/Trazodone.
- Put in a Broda continuously, striking out at staff injuring some of them. Largely either unconscious or agitated.

### Case 3

- An 85-year-old woman with a gradual progression of memory problems. She has problems with her “nerves”. She has been referred to your service with the request for admission.
- The main issues at the nursing home include:
  - *anxiety, crying, need for reassurance from staff repeatedly through the day, wandering at night, and hoarding of multiple items (paper, plastic cutlery, towels) in her room.*



### Take home points

1. A comprehensive assessment is helpful in evaluating symptoms and defining treatment goals.
  - Scales can measure severity, frequency and timing of behaviour
2. BPSD is best managed by non-pharmacological means if possible.
  - Some behaviours are not amenable to medications
3. Severe BPSD may need both non-pharmacological and pharmacological means.
  - Pharmacological treatment needs to be appropriate and defined.
4. An individually tailored care plan works best that takes into account individual and environmental factors.

